

Form PTO-1449

Sheet 1 of 2

Applicant:

Eddie M. Schwalb

Serial No.:

09/923,928

Filing Date:

August 7, 2001

For:

SOFTWARE APPLIANCE METHOD AND SYSTEM

Conf. No. 4537

Att'y Docket No. 10237.7

Group: 2819

INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patent Documents

<u>Examiner Initial*</u>		<u>Document Number</u>	<u>Issue Date</u>	<u>Name</u>	<u>Class</u>	<u>Sub Class</u>	<u>Filing Date</u>
<u>MVH</u>	A1.	6,126,546	10/03/00	Reed et al.	463	40	07/13/99
<u>MVH</u>	A2.	6,128,650	10/03/00	De Vos et al.	709	217	03/28/96
<u>MVH</u>	A3.	6,134,376	10/17/00	Lee et al.	386	46	08/12/97
<u>MVH</u>	A4.	6,141,003	10/31/00	Chor et al.	345	327	03/18/97
<u>MVH</u>	A5.	6,141,530	10/31/00	Rabowsky	455	3.1	06/15/98

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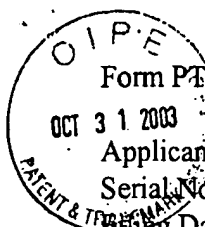
Examiner:

Mike JH

Date Considered:

10/31/2005

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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Sheet 2 of 2

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Prior Art Cited by Applicants

While the filing of prior art statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper prior art statement, Form PTO-1449 shall be accompanied by an explanation of relevance of each listed item, a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all prior art citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as prior art cited by the Examiner on Form PTO-892.

The reference designations "A1", "A2", etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A", "B", "C", etc. on Office Action Form PTO-1142.

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